CMC CONNECT

Settlement Methods Information Sheet

Settlement Options

To trade on behalf of your customers with CMC Markets, each trading account is required to have a method to settle market transactions in either one of two ways:

- Cash (e.g. Bank Account)
- DVP (e.g. Margin Loan)

Based on the agreement with CMC Markets, there will be several settlement options to select from via the online application form.

Below is a list of the Settlement options, how to request these options online and additional information/documents to include as part of the application process.

Existing Macquarie Cash Management Account

Use an existing active Macquarie Cash Management Account (CMA) to settle transactions with the CMC Markets trading account.

How to apply:

Select Settlement Option – Existing Macquarie CMA

Notes:

The CMA **must** be in the same name as the trading account to be used for settlements.

Additional Documents:

- CMC Markets Direct Debit/Credit Form (part of online application package/form)
- Macquarie Third Party Authority form (TPA)

Instructions to download and complete the TPA are included in the online application package.

The form can be sent in with the CMC applications or directly to adviser@macquarie.com

New Macquarie Cash Management Account

Open a new Macquarie Cash Management Account (CMA) to settle transactions with the CMC Markets trading account. Apply online and CMC will handle the application with Macquarie through integrated onboarding services.

How to apply:

Select Settlement Option – New Macquarie CMA

Notes:

This will not give you direct access to the CMA via Macquarie Banking portal. To do this, you'll need to complete a 'Macquarie Third Party Authority' form with Adviser details and level of authority specified. Send the completed form directly to the Macquarie team.

Additional Documents:

- CMC Markets Direct Debit/Credit Form (part of the online application package/form)
- CMA Application Agreement (part of the online application package/form)

ANZ V2+Broking Account

Open a new ANZ V2+Broking Account to settle transactions with the CMC Markets trading account. Apply online and CMC will handle the onboarding process with the ANZ V2 Product team.

How to apply:

Select Settlement Option – ANZ V2+ Broking Account

Notes:

The settlement option is designed for Advisers with an existing Relationship with ANZ. You'll need to provide your (ANZ) Adviser Code and Adviser Name in the online application.

If your clients are existing ANZ Customers, you can provide their ANZ CRN in the application for ANZ to link the new account to their banking profile.

Additional Documents:

- Signed V2+Broking agreement (part of the online application package/form)
- CMC Markets Direct Debit/Credit Form (part of the online application package/form)

ANZ V2PLUS Account

Use an existing ANZ V2 PLUS Account to settle transactions with the CMC Markets trading account.

How to apply:

Select Settlement Option – Direct Debit

Notes:

The bank account must be in the same name as the trading account to be used for settlements.

Additional Documents:

- ANZ V2 PLUS Data Share Authority Form

https://www.anz.com.au/content/dam/anzcomau/documents/pdf/anz-v2-plus-data-share-authority-form.pdf *(provide to CMC with the application if it hasn't yet been provided to and processed by ANZ)*

- CMC Markets Direct Debit/Credit Form (part of the online application package/form)

Direct Debit/Direct Credit (DD/DC)

Use an existing bank account to settle transactions with the CMC Markets trading account. Trading on these accounts will be un-vetted.

How to apply:

Select Settlement Option – Direct Debit

Notes:

The bank account must be in the same name as the trading account to be used for settlements.

Additional Documents:

- CMC Markets Direct Debit/Credit Form (part of the online application package/form)

Existing Margin Loan

Use an existing Margin Loan to settle transactions with the CMC Markets trading account. Leveraged Equities & St. George Margin Loans are integrated with the CMC Markets trading platform so that you can see the Portfolio and borrowing position of the customer's loan.

How to apply:

Select Settlement Option – Existing Margin Loan

Notes:

The margin loan account must be in the same name as the trading account to be used for settlements.

Additional Documents:

- Margin Lender Letter of Authority (part of the online application package/form)

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DVP – Macquarie Wrap

Use an existing Macquarie Wrap account to settle transactions with the CMC Markets trading account.

How to apply:

Select Settlement Option – Existing Margin Loan
Select "Macquarie Wrap" from the Margin Lender drop-down menu

Notes:

Account/Product Type is mandatory to complete *(e.g. Super Consolidator, Investment Consolidator, etc)*

DDH Graham Bank Account

Use an existing DDH Graham Bank account to settle transactions with the CMC Markets trading account.

How to apply:

Select Settlement Option – Direct Debit

Notes:

The bank account must be in the same name as the trading account to be used for settlements.

Additional Documents:

- CMC Markets Direct Debit/Credit Form (part of the online application package/form)